

# FATCA Reporting

## XML Exporting

We are now in a position of being able sending test files through IGOR generated from the data input into the FATCA module.

Below is the initial screen to start a FATCA Report, by clicking “Create New Report” you will presented with a start export screen where you can select the FATCA IGA country and Entity Classification types that you want to report on.

FATCA Message Header Information					
Message Ref ID	Sending GIIN	Transmitting Country	Corr Message Ref ID	Reporting Period	Description
Financial Institutions			Holder Code Holder Name		
FI Name	Sponsor Node	Intermediary Node			

Pool Report			
Account Count	Pool Currency	Pool Balance	Pool Report Type

### Create Report Extract pop-up

Start FATCA Report Extract

Sending FI

Sending Country

IGA Countries

FATCA Types

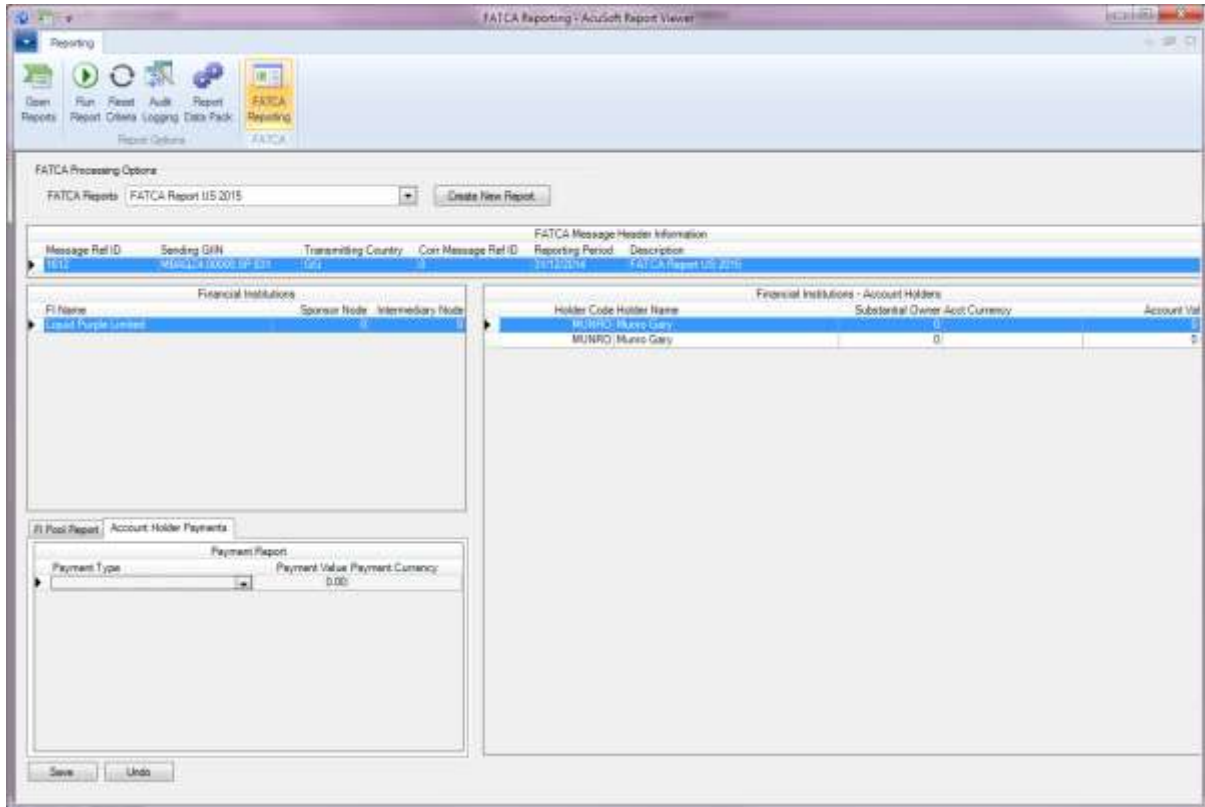
Reporting Period

Extract Description

Continue Close

Once run, you will be able to select the report (and historic reports) from the FATCA Report dropdown; this will update the screen as follows:

As you will see the Message Header contains information of the primary Financial Institution and where the report is being transmitted to.



You will also have a Financial Institution grid displaying all the unique Financial Institution that are being reported on

Financial Institutions		
FI Name	Sponsor Node	Intermediary Node
Liquid Purple Limited	0	0

As each Financial Institution is selected the Financial Institution Pool Node will be presented to handle Recalcitrant Account Data.

FI Pool Report Account Holder Payments

Pool Report			
Account Count	Pool Currency	Pool Balance	Pool Report Type
2	British Pounds	0.00	▼
3	Botswana Pula	3.00	

Dormant Accounts  
Non participating foreign financial institutions  
Recalcitrant account holders that are passive NFFEs  
Recalcitrant account holders that are US persons  
Recalcitrant account holders with US indicia  
Recalcitrant account holders without US indicia

Also as each Financial Institution is selected, the Account holders for that Financial Institution are loaded into the Account Holders grid

Financial Institutions - Account Holders				
Holder Code	Holder Name	Substantial Owner	Acct Currency	Account Value
MUNRO	Munro Gary	0		0.00
MUNRO	Munro Gary	0		0.00

As each Account holder is selected the Account Holder Payment screen is displayed to enter details of payments made to the Account Holder

FI Pool Report
Account Holder Payments

Payment Report		
Payment Type	Payment Value	Payment Currency
Gross Proceeds/Redemptions	100.00	British Pounds
Dividends		
Gross Proceeds/Redemptions	FATCA503	
Interest		
Other - FATCA		

If you hover over any Account Holder or Financial Institution, the additional information included in the "node" is displayed in a detailed tooltip as follows.

Linked To Entity	[999999] - UNNAMED ENTITY	Holder Code	MUNRO
Country Code	UNKI	TIN/GIIN	201-200-2015
First Name	Gary	Surname	Munro
FATCA Classification	UK Specified Person		
Birth Date	14/01/1967		
Address	Somewhere is Guernsey		
PostCode	GY7 9YY		
City	No Data		